



EXPRESS MAIL NO. \_\_\_\_\_ PATENT (U.S.A.)  
ATTORNEY'S DOCKET NO. 60307-5001

# DECLARATION AND POWER OF ATTORNEY

☐ ORIGINAL  
☐ CONTINUATION  
☐ DIVISIONAL

As a below named inventor, I declare that the information given herein is true, that I believe that I am the original, first and sole inventor if only one name is listed at 1 below, or a joint inventor if plural inventors are named below at 1-4, of the invention entitled:

## METHOD FOR THE TREATMENT AND PREVENTION OF DENTAL CARIES

Which is described and claimed in:

- ☐ the attached specification or  
☐ the specification in application Serial No. 09/378,577 filed August 20, 1999 ☐ as amended on \_\_\_\_\_ (if applicable)  
(for declaration not accompanying application)

and for which a patent is sought, and that my residence, post office address and citizenship are as stated below next to my name.  
I acknowledge my duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).  
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.  
I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day/month/year)	PRIORITY CLAIMED UNDER 35 UCS § 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

APPLICATION SERIAL NO.	FILING DATE

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 120, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION SERIAL NO.	FILING DATE	STATUS

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or Agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

ROD S. BERMAN, Reg. No. 31,483; BERNARD R. GANS, Reg. No. 27,443; BRENNAN C. SWAIN, Reg. No. 43,175; and DAVID J. MEYER, Reg. No. 33,425.

SEND CORRESPONDENCE TO:  
David J. Meyer, Esq.  
JEFFER, MANGELS, BUTLER & MARMARO LLP  
Tenth Floor  
2121 Avenue of the Stars  
Los Angeles, California 90067

DIRECT TELEPHONE CALLS TO:  
David J. Meyer, Esq.: (310) 712-6812

1	Name of Inventor	LAST NAME Shi	FIRST NAME Wenyuan	MIDDLE NAME	Residence: CITY Los Angeles	STATE or COUNTRY California
	Post Office Address 7011 Kentwood Ave. Los Angeles, California 90045				CITIZENSHIP People's Republic of China	
	Signature			Date 11-3-99		
2	Name of Inventor	LAST NAME Anderson	FIRST NAME Maxwell	MIDDLE NAME H.	Residence: CITY Seattle	STATE or COUNTRY Washington
	Post Office Address 519 N. 62nd Street Seattle, Washington 98103				CITIZENSHIP United States of America	
	Signature			Date		

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.



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1	Name of Inventor Shi	LAST NAME Shi	FIRST NAME Wenyuan	MIDDLE NAME	Residence: CITY Los Angeles	STATE or COUNTRY California
	Post Office Address 7011 Kentwood Ave. Los Angeles, California 90045				CITIZENSHIP People's Republic of China	
	Signature			Date		
2	Name of Inventor Anderson	LAST NAME Anderson	FIRST NAME Maxwell	MIDDLE NAME H.	Residence: CITY Seattle	STATE or COUNTRY Washington
	Post Office Address 519 N. 62nd Street Seattle, Washington 98103				CITIZENSHIP United States of America	
	Signature <i>Maxwell Anderson</i>			Date <i>10/25/99</i>		

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

**ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS

**Wenyuan Shi**

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, including their successors, assigns and legal representatives of the ASSIGNEES, all of his right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

METHOD FOR THE PREVENTION OF DENTAL CARIES; and

**Maxwell H. Anderson**

hereby sells, assigns and transfers to ASSIGNEE, Washington Dental Service, a Washington corporation, having its offices located at 9706-4th Avenue N.E., Seattle, WA 98115, including their successors, assigns and legal representatives of the ASSIGNEES all of his right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

METHOD FOR THE PREVENTION OF DENTAL CARIES

and which is found in

  X   U.S. application serial no. 09/378,577, filed on August 20, 1999.

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in part, extension, conversion to provisional application, or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNORS further covenant that ASSIGNEES will, upon their request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalent as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEES or their legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

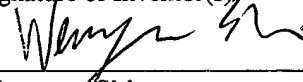
AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEES, The Regents of the University of California and Washington Dental Service, jointly.

executed this

22 day of October, 1999

       day of                     , 199  

Signature of Inventor(s)

  
\_\_\_\_\_  
Wenyuan Shi

\_\_\_\_\_  
Maxwell H. Anderson

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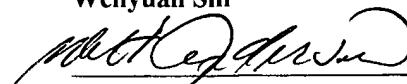
executed this

Signature of Inventor(s)

       day of                     , 199  

25 day of October, 1999

Wenyuan Shi

  
Maxwell H. Anderson